

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	9925097
<b>Application Number:</b>	10562615
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	6138
<b>Title of Invention:</b>	Tricyclic derivatives or pharmaceutically acceptable salts thereof, their preparations and pharmaceutical compositions containing them
<b>First Named Inventor/Applicant Name:</b>	Myung-Hwa Kim
<b>Customer Number:</b>	20311
<b>Filer:</b>	Michael Nicholas Mercanti/Yun H Choe
<b>Filer Authorized By:</b>	Michael Nicholas Mercanti
<b>Attorney Docket Number:</b>	428.1145
<b>Receipt Date:</b>	20-APR-2011
<b>Filing Date:</b>	27-JUL-2006
<b>Time Stamp:</b>	23:48:44
<b>Application Type:</b>	U.S. National Stage under 35 USC 371

### Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$1110
RAM confirmation Number	9164
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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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1	Amendment/Req. Reconsideration-After Non-Final Reject	428-1145_response_2010-04-20.pdf	360919 366974b20916d96a1f0baac11c50881cd5c43	no	16
<b>Warnings:</b>					
<b>Information:</b>					
2	NPL Documents	Exhibit_BMCL_4057.pdf	356092 d0141b13a86d72a88d80be0f7a0ffec3e311cc9	no	5
<b>Warnings:</b>					
<b>Information:</b>					
3	NPL Documents	Exhibit_BMCL_5111.pdf	183792 191ecbf199a09711657c271917f84903af1dab1a01	no	4
<b>Warnings:</b>					
<b>Information:</b>					
4	NPL Documents	Exhibit_JMC_7631.pdf	821350 216db6504b51b57d5d8ff05a026282307d8d	no	9
<b>Warnings:</b>					
<b>Information:</b>					
5	Fee Worksheet (PTO-875)	fee-info.pdf	30089 d3c206134846490ca1260001aa95888f5498837	no	2
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>			1752242		

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#### **New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### **National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### **New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.